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K. GYÖRY, R. TIJDEMAN & M. VOORHOEVE ON THE EQUATION  $1^k + 2^k + ... + x^k = y^z$ 

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On the equation  $1^k + 2^k + \ldots + x^k = y^z$ 

by

K. GYÖRY\*\*, R. TIJDEMAN\*\*\* & M. VOORHOEVE\*\*\*\*

ABSTRACT.

In this paper it is proved that for  $q \ge 3$  and  $r \in \mathbb{Z}$  the polynomial

$$P(x) = B_q(x) - B_q(0) + r,$$

where  $B_q(x)$  is the q-th Bernoulli polynomial, has at least three simple zeros, unless r=0 and  $q\in\{4,6\}$ . By applying some recent results on Diophantine equations, derived by the Gel'fond-Baker method, the following theorem is proved:

Let b,r and  $k \ge 2$  be fixed rational integers with  $k \notin \{3,5\}$  if r = 0. Then the equation

$$r + 1^k + 2^k + \dots + x^k = by^z$$

in positive integers x,y and z > 1 has only finitely many solutions. Upper bounds for x,y and z are effectively computable.

The case r = 0, b = 1 and z fixed was proved in an ineffective version by J.J. SCHÄFFER in 1956.

KEY WORDS & PHRASES: Diophantine equations, Bernoulli polynomials, zeros of polynomials.

<sup>\*</sup> This report will be submitted for publication elsewhere.

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## 1. INTRODUCTION

In 1956 J.J. SCHÄFFER [4] proved that for fixed k and z > 1 the title equation has an infinite number of solutions in positive integers x,y only in the following cases: (I) k = 1, z = 2, (II) k = 3,  $z \in \{2,4\}$ , (III) k = 5, z = 2. In all other cases the number of solutions was shown to be bounded by a constant depending only on k. He conjectured that the only other solutions have x = y = 1, apart from k = z = 2, x = 24, y = 70. Schäffer's complicated proof used an ineffective method due to THUE and SIEGEL. On applying the Gel'fond-Baker method, we are able to prove the following generalization.

THEOREM 1. Let  $p_1, \ldots, p_t$  be a finite set of fixed primes and denote by S the set of integers composed of these primes. Let r and  $k \ge 2$  be fixed rational integers with  $k \notin \{3,5\}$  if r = 0. Then the equation

(1) 
$$r + 1^k + 2^k + ... + x^k = wy^z$$

in positive integers  $w \in S$ , x,y > 1, z > 1 has only finitely many solutions.

By the effectiveness of the used method, upper bounds for w,x,y and z can be determined effectively. Note that it is a consequence of Theorem 1 that for any integers a > 1, b and  $k \ge 2$  the equation

$$a^{k} + (a+1)^{k} + ... + x^{k} = bv^{z}$$

has only finitely many solutions in integers x > a, y > 1 and z > 1.

The deduction of Theorem I from recent results on Diophantine equations is straightforward if the polynomial  $r+1^k+2^k+\ldots+x^k$  in x is known to have at least three simple zeros. This polynomial is closely related to the Bernoulli polynomial  $B_{k+1}(x)$ , namely

(2) 
$$1^{k} + 2^{k} + \ldots + x^{k} = \frac{1}{k+1} \{B_{k+1}(x+1) - B_{k+1}\},$$

where  $B_{k+1} = B_{k+1}(0)$  is the k+1-th Bernoulli number. We prove slightly

more than we need.

THEOREM 2. For every  $r \in \mathbb{Z}$  the polynomial

$$P(x) = B_q(x) - B_q + r$$

has at least three simple zeros if q=3 and at least four simple zeros if  $q\geq 4$ , unless r=0 and  $q\in\{4,6\}$ .

In case q is odd, a straightforward generalization of an argument of BRILLHART [2] shows that all zeros of P(x) are simple. The case q is even is more complicated.

In section  $\underline{2}$  we quote the results on Bernoulli polynomials which we need for the proof of Theorem 2. In  $\underline{3}$ ,  $\underline{4}$  and  $\underline{5}$  the proof is given in case q is odd,  $q \equiv 0 \pmod{4}$  and  $q \equiv 2 \pmod{4}$  respectively. In  $\underline{6}$  we quote the results on Diophantine equations which we use in the deduction of Theorem 1. The proof itself is given in  $\underline{7}$ . Some remarks on generalizations and related results are made in 8.

We note that all proofs of results in this paper are effective and we make no further reference to this aspect of the method.

 $\underline{2}$ . For q = 0,1,2,..., the Bernoulli polynomials  $B_q(x)$  are defined by

$$\frac{ze^{XZ}}{e^{Z}-1} = \sum_{q=0}^{\infty} \frac{B_q(x)z^q}{q!}, \quad |z| < 2\pi.$$

Their expansion around the origin is given by

$$B_{q}(x) = \sum_{s=0}^{q} {q \choose s} B_{s} x^{q-s},$$

where  $B_0 = 1$ ,  $B_1 = -\frac{1}{2}$ ,  $B_2 = \frac{1}{6}$ ,... are the Bernoulli numbers. One has  $B_{2k+1} = 0$  for  $k = 1, 2, 3, \ldots$ . For the following well-known properties of Bernoulli polynomials and numbers we refer to RADEMACHER [3] pp 1-17.

(3) 
$$B_{q}(1-x) = (-1)^{q}B_{q}(x).$$

(4) 
$$B_q(x+1) = B_q(x)+qx^{q-1}$$
.

So  $B_q(2) = B_q(1)+q = B_q+q$  for  $q \ge 2$ . By induction, one finds (2).

(5) 
$$B_{q}(x) = q B_{q-1}(x)$$
.

(6) 
$$(-1)^{k-1}B_{2k} > 0 \text{ for } k \ge 1.$$

(7) (The von Staudt-Clausen Theorem) The denominator of the Bernoulli number B<sub>2k</sub> is the product of those different primes p for which p-1 divides 2k.

More precisely,

$$B_{2k} = G_{2k} - \sum_{(p-1)|2k} \frac{1}{p},$$

where G<sub>2k</sub> is an integer.

(8) 
$$|B_{2k}| > 2. (2k)!/(2\pi)^{2k}$$
.

(9) 
$$B_{q}(\frac{1}{2}) = (2^{1-q}-1)B_{q}.$$

(10) The polynomials  $B_{2k+1}(x)$  have no zeros in the segment  $(\frac{1}{2},1)$ . Hence by (5) the polynomials  $B_{2k}(x)$  are monotonic in  $(\frac{1}{2},1)$ . In view of (3), (6) and (9) the polynomials  $B_{2k}(x)$  are monotonically increasing in  $(\frac{1}{2},1)$  if k is odd, decreasing if k is even.

Proof of Theorem 2. We distinguish several cases.

<u>3.</u> Suppose q is odd. Choose  $d \in \mathbb{N}$  such that dP(x) is a primitive polynomial in  $\mathbb{Z}[x]$ , that is a polynomial with integer coefficients having no common factor. By (7), d is even and squarefree, whereas for  $\ell = 1, 2, 4, 6, \ldots, q-1$ ,

$$\binom{q}{\ell} dB_{\ell} \equiv \binom{q}{\ell} \pmod{2}$$
.

Now

Hence,

$$\begin{split} dP(x) &= dx^{q} + \sum_{\ell=1}^{q-1} dB_{\ell} {q \choose \ell} x^{q-\ell} + dr &\equiv \\ &= x^{q-1} + \sum_{\lambda=1}^{\frac{1}{2}(q-1)} {q \choose 2\lambda} x^{q-2\lambda} \pmod{2}. \\ d(P(x) + xP'(x)) &= qx^{q-1} + \sum_{\lambda=1}^{\frac{1}{2}(q-1)} {q \choose 2\lambda} (1+q-2\lambda) x^{q-2\lambda} &\equiv x^{q-1} \pmod{2}. \end{split}$$

Any common factor of dP(x) and dP'(x) must therefore be congruent to a power of x (mod 2). Since  $dP'(0) = qdB_{q-1} \equiv 1 \pmod{2}$  we find that dP(x) and dP'(x) are relatively prime (mod 2). So any irreducible common divisor of dP(x) and dP'(x) in  $\mathbb{Z}[x]$  must be of the shape 2R(x)+1. Then dP(x) is divisible by  $(2R(x)+1)^2$  and the leading coefficient d of dP(x) is divisible by the leading coefficient of  $(2R(x)+1)^2$ . Since  $4 \nmid d$ , this is impossible unless R is a constant. All the zeros of P(x) are therefore simple. A special noteworthy case occurs when r=0, so  $P(x)=B_q(x)$ .

4. Suppose q is even. The derivative  $P'(x) = qB_{q-1}(x)$  of P has only simple zeros, so each zero of P(x) is of multiplicity less than 3. Choose d  $\in \mathbb{N}$  such that dP(x) is a primitive polynomial in  $\mathbb{Z}[x]$ . Let T(x) be the g.c.d. of dP(x) and dP'(x). Then  $T(x) \in \mathbb{Z}[x]$  is primitive and

$$dP(x) = T^{2}(x)Q(x),$$

where  $Q(x) \in \mathbb{Z}[x]$  contains all the simple zeros of P(x). The theorem is equivalent to the statement that deg  $Q \ge 4$  unless  $q \in \{4,6\}$  and r = 0. By (7), d is squarefree. Since P(x) is monic, we find that T(x) must also be monic. Since  $r \in \mathbb{Z}$ , either  $2^q dP(\frac{1}{2})$  or  $2^{q-1} dP(\frac{1}{2})$  is an odd integer, so  $P(\frac{1}{2}) \ne 0$ . By (3), P(1-x) = P(x). So for each zero  $\xi$  of P(x) there is a zero  $1 - \xi$  of the same multiplicity. Therefore T(x) is of even degree and deg  $Q \equiv q \pmod{4}$ .

Now suppose that  $q \equiv 0 \pmod 4$  and assume that  $\deg Q < 4$ , so  $\deg Q = 0$ . Hence, since dP(x) is primitive,  $Q(x) = \pm 1$ . Thus d = 1. The denominator of  $B_{2k}$  is divisible by 6 for k = 1, 2, 3.... So necessarily  $6 \mid {q \choose 2k}$  for  $k = 1, 2, ..., \frac{1}{2}q-1$ . Write  $q = t2^{\lambda}$ , where t is odd. Then  $2 \nmid {q \choose 2\lambda}$ , which gives a contradiction unless t = 1. So  $q = 2^{\lambda}$ . Next choose  $\mu \in \mathbb{N}$  such that  $3^{\mu} < q \leq 3^{\mu+1}$  and write  $q = 3^{\mu} + s3^{\nu}$ , where  $3 \nmid s$ . Since q is even s must be odd. Then  $3 \nmid {q \choose (s-1)3}^{\nu}$ , which gives a contradiction unless s = 1. So  $q = 2^{\lambda} = 3^{\mu} + 3^{\nu}$ . Since any power of 3 is congruent to 1 or 3

So  $q = 2^n = 3^n + 3^n$ . Since any power of 3 is congruent to 1 or 3 (mod 8), the only solutions of this equation are q = 2 or q = 4. So q = 4. Since  $P'(x) = 4B_3(x)$  has the only roots  $0, \frac{1}{2}$  and 1 and since  $P(\frac{1}{2}) \neq 0$ , we must have P(0) = P(1) = 0, so r = 0. The theorem is thus proved in this case.

5. Suppose that  $q \equiv 2 \pmod{4}$  and assume that deg Q < 4. Then deg Q = 2. Moreover, since q > 2, we find that d is even. By (3), Q(x) = Q(1-x). Furthermore, T(x) is monic. Hence, for some  $c \in \mathbb{Z}$ ,

(11) 
$$dP(x) = (dx^2 - dx + c)T^2(x).$$

Since dP(x) is primitive, (d,c) = 1. It follows from

$$(dx^2-dx+c) | (dx^q-\frac{1}{2} dqx^{q-1}+\frac{1}{6} d(_2^q)x^{q-2}+...)$$

that

$$(dx^2-dx+c)$$
 |  $(-\frac{1}{2}d(q-2)x^{q-1}+(\frac{1}{6}d(\frac{q}{2})-c)x^{q-2}+...)$ 

and therefore  $d \mid (\frac{1}{6} d \binom{q}{2}) - c)$ . Hence,  $(d, \frac{1}{6} d \binom{q}{2}) = 1$ . Thus  $d \mid 6$ . Suppose that d = 6. Then  $3 \nmid c$  and  $3 \nmid q$ . By (11), (3) and (4) we have

$$6P(1) = cT(1)^2 = 6r,$$
  
 $6P(2) = (12+c)T^2(2) = 6r + 6q.$ 

So both 6r and 6(r+q) are divisible by  $3^2$ . By  $3 \nmid q$  this is impossible. So we find that d = 2.

Suppose that  $p \mid c$  for some odd prime p. For  $S(x) \in \mathbb{Z}[x]$  we denote by  $(S(x))_p$  the image of S in  $\mathbb{Z}_p[x]$  under the canonical mapping. Choose  $T_1(x)$  such that

$$(T(x))_{p} = (x^{k}.T_{l}(x))_{p},$$

where  $(x)_{p} \nmid (T_{1}(x))_{p}$ , so  $p \nmid T_{1}(0)$ . Then

$$(2P(x))_{p} = ((2x^{2}-2x)(x^{2k}.T_{1}^{2}(x)))_{p}.$$

By comparing the coefficients of  $\mathbf{x}^{2k+1}$  we find

$$0 \not\equiv -2T_1^2(0) \equiv 2(\frac{q}{2k+1})B_{q-2k-1} \pmod{p}$$
.

Consequently  $B_{q-2k-1} = B_1$ , so q = 2k + 2. Thus  $T_1(x)_p$  is constant. Since T(x) is monic,  $(T^2(x))_p = (x^{2k})_p = (x^{q-2})_p$ . Hence, by (11),

$$(2x^{q}-2x^{q-1})_{p} = (2x^{q}-qx^{q-1}+\frac{1}{3}(_{2}^{q})x^{q-2}+\ldots)_{p}.$$

So  $q\equiv 2\pmod p$  and  $\frac{1}{3}(\frac{q}{2})\equiv 0\pmod p$ . This is impossible, so  $c=\pm 1$ . We therefore have either  $(2x^2-2x+1)|2P(x)$  and  $r=B_q(0)-B_q(\frac{1}{2}+\frac{1}{2}i)$  or  $(2x^2-2x-1)|2P(x)$  and  $r=B_q(0)-B_q(\frac{1}{2}+\frac{1}{2}\sqrt{3})$ . We investigate these cases separately.

Suppose that  $P(x) = B_q(x) - B_q(\frac{1}{2} + \frac{1}{2}i)$ . Put  $\sigma = \frac{1}{4}(q-2)$ . Since  $r \in \mathbb{Z}$  is real and  $(\frac{1}{2} + \frac{1}{2}i)^2 = \frac{1}{2}i$  we have

$$-r = B_{q}(\frac{1}{2} + \frac{1}{2}i) - B_{q}(0) = Re\{\sum_{k=0}^{q-2} {q \choose k} (\frac{1}{2} + \frac{1}{2}i)^{q-k} B_{k}\} =$$

$$= 0 + Re\{-\frac{1}{2}q(\frac{1}{2} + \frac{1}{2}i)^{q-1}\} + \frac{1}{6}{q \choose 2}(\frac{1}{2} + \frac{1}{2}i)^{q-2} + 0 + B_{6}{q \choose 6}(\frac{1}{2} + \frac{1}{2}i)^{q-6} + \dots =$$

$$= (-\frac{1}{4}q + \frac{1}{6}{q \choose 2})(-\frac{1}{4})^{\sigma} + B_{6}{q \choose 6}(-\frac{1}{4})^{\sigma-1} + B_{10}{q \choose 10}(-\frac{1}{4})^{\sigma-2} + \dots$$

Since d=2,  $2B_k({q \atop k}) \in \mathbb{Z}$  for  $k=2,\ldots,q-2$ . Hence,  $4^{\sigma}B_6({q \atop 6}) \left(-\frac{1}{4}\right)^{\sigma-1}=\pm 4B_6({q \atop 6})$  is an even integer. Similarly  $4^{\sigma}B_{4k+2}({q \atop 4k+2}) \left(-\frac{1}{4}\right)^{\sigma-k}$  are even integers for  $k=2,3,\ldots$ . It follows that

$$4^{\sigma}(-\frac{1}{4} + \frac{1}{6}(\frac{q}{2}))(-\frac{1}{4})^{\sigma} = (-1)^{\sigma} \frac{1}{12} \cdot q(q-4)$$

is an integer, which is apparently odd. Consequently  $4^\sigma r$  is an odd integer, showing by  $\sigma \geq 1$  that  $r \notin \mathbb{Z}$ . This is a contradiction.

Suppose that  $P(x) = B_q(x) - B_q(\frac{1}{2} + \frac{1}{2}\sqrt{3})$ . One finds that

$$B_6(x) = x^6 - 3x^5 + \frac{5}{2}x^4 - \frac{1}{2}x^2 + B_6$$

$$B_6(x) - B_6(0) = \frac{1}{2}(2x^2 - 2x - 1)(x^2 - x)^2$$
.

Thus  $r = B_6(0) - B_6(\frac{1}{2} + \frac{1}{2}\sqrt{3}) = 0$  if q = 6, which agrees with our theorem. So let q > 6, whence  $q \ge 10$ . By (6),  $B_q(1)$  is positive and, by (9),  $B_q(\frac{1}{2})$  is negative. Finally, by (10),  $B_q(x)$  is monotonically increasing in  $(\frac{1}{2}, 1)$ . We have by (4) and (3)

$$B_{q}(\frac{1}{2} + \frac{1}{2}\sqrt{3}') = B_{q}(-\frac{1}{2} + \frac{1}{2}\sqrt{3}') + q(-\frac{1}{2} + \frac{1}{2}\sqrt{3}')^{q-1} > B_{q}(-\frac{1}{2} + \frac{1}{2}\sqrt{3}') = B_{q}(1\frac{1}{2} - \frac{1}{2}\sqrt{3}') > B_{q}(\frac{1}{2}).$$

Suppose that  $B_q(\frac{1}{2}+\frac{1}{2}\sqrt{3}) < B_q(1)$ . Then there is a  $\rho \in (\frac{1}{2},1)$  such that  $B_q(\rho) = B_q(\frac{1}{2}+\frac{1}{2}\sqrt{3})$ . Hence P(x) has a simple zero in  $(\frac{1}{2},1)$ , which gives a contradiction. So  $B_q(\frac{1}{2}+\frac{1}{2}\sqrt{3}) \geq B_q(1) > 0$ . Since  $B_q'(1) = 0$  and  $B_q''(1) < 0$  there is an  $\epsilon > 0$  such that  $B_q(1+\epsilon) < B_q(1) \leq B_q(\frac{1}{2}+\frac{1}{2}\sqrt{3})$ . Since  $q \geq 10$  we have by (4), (9) and (8)

$$B_{q}(1\frac{1}{2}) = B_{q}(\frac{1}{2}) + q(\frac{1}{2})^{q-1} \le (2^{-9}-1)\frac{10!}{(2\pi)^{10}} + 10.2^{-9} < 0 < B_{q}(\frac{1}{2}+\frac{1}{2}\sqrt{3}).$$

Let  $\sigma$  be the maximum of  $B_q(x)$  in the interval  $[1+\epsilon,1\frac{1}{2}]$  and let this maximum be assumed at the point  $\tau \in (1+\epsilon,1\frac{1}{2})$ . Since  $\frac{1}{2}+\frac{1}{2}\sqrt{3}$  was a simple zero of P(x), we have that  $B_q(\frac{1}{2}+\frac{1}{2}\sqrt{3})<\sigma$ . Thus P(x) changes sign in  $(1,\tau)$  and in  $(\tau,1\frac{1}{2})$ , so P(x) must have at least two zeros of odd multiplicities in  $(1,1\frac{1}{2})$ , which by (3) implies that deg  $Q \ge 4$ . This final contradiction proves Theorem 2.

6. For the proof of Theorem 1 we need the following results.

<u>LEMMA 1</u>. Let S be the set of all non-zero integers composed of primes from some fixed finite set. Let P  $\in$  Q[x] be a polynomial with at least two distinct zeros. Then the equation

$$P(x) = wy^{Z}$$

in integers  $w \in S$ , x, y > 1, z implies that z < C, where C is a constant depending only on P and S.

PROOF. This is a direct consequence of [5], Theorem 2.

<u>LEMMA 2.</u> Let  $P \in Q[x]$  be a polynomial with at least three simple zeros. Let b and m be fixed integers with b  $\neq 0$  and  $m \geq 2$ . Then the equation

$$P(x) = by^{m}$$

has only finitely many solutions in integers x and y.

<u>PROOF.</u> This follows easily from a result of BAKER [1] giving the stated result in case  $P(x) \in \mathbb{Z}[x]$ , b=1. Let d be an integer such that  $dP(x) \in \mathbb{Z}[x]$ . Then  $b^{m-1}d^mP(x)$  is a polynomial with integer coefficients satisfying

$$b^{m-1}d^{m}P(x) = (bdy)^{m}.$$

According to Baker's result there are only finitely many integer solutions  $\boldsymbol{x}$  and bdy. This proves our assertion.

7. Proof of Theorem 1. We know from Theorem 2 that the polynomial

(12) 
$$r + 1^k + 2^k + \ldots + x^k = \frac{1}{k+1} \{B_{k+1}(x+1) - B_{k+1} + r(k+1)\}$$

has at least two distinct zeros. Hence it follows from the equation (1) by applying Lemma 1 that z is bounded. We therefore may assume that z is fixed. We can incorporate any z-th power in  $y^z$ . Doing so there are only  $z^t$  possibilities for w. Hence we may assume without loss of generality that w is fixed. So we have obtained an equation

$$r + 1^k + 2^k + ... + x^k = by^m, b \neq 0, m \geq 2,$$

in integers x and y. According to Theorem 2 the polynomial on the right side of (12) has at least three simple zeros, unless r = 0 and  $k \in \{3,5\}$ .

On applying Lemma 2 we find that there are only finitely many solutions x,y. Thus the number of solutions (w,x,y,z) of (1) is finite, unless r=0 and  $k \in \{3,5\}$ .

8. REMARK 1. R.J. STROEKER proved that the Diophantine equation

$$q = 3^{\mu} + 3^{\nu} = 5^{\sigma} + 5^{\tau}$$

has the only solutions q = 2,6,10 or 30. It follows from this result that, under the assumptions in section 5, d = 2 if and only if q = 6,10 or 30. This gives an alternative for the last part of the proof of Theorem 2. A similar idea was used in the proof of Schäffer's result mentioned in the introduction.

REMARK 2. The proof of Theorem 2 remains valid if we replace r by r/s, where s is a squarefree odd integer. This implies that Theorem 1 also holds if (1) is replaced by the equation

$$r + s(1^{k} + 2^{k} + ... + x^{k}) = wy^{z}$$

for some squarefree odd integer s.

<u>REMARK 3</u>. It is possible that the condition in Theorem 1 that k is fixed is unnecessary. However, we are not even able to prove that for fixed r and  $n \ge 2$  the equation

$$r + 1^{x} + 2^{x} + ... + n^{x} = wv^{z}$$

has only finitely many solutions in positive integers  $w \in S$ , x,y > 1, z > 1. Using the fact that the term  $n^X$  dominates the left side if x is large, one can deduce that z is bounded and that the greatest prime factor of y tends to infinity as  $x \to \infty$ . For the first fact one can apply [6], Theorem 1 with b = w,  $\ell = r + 1^X + 2^X + \ldots + (n-1)^X$  and q = z. For the second statement one can apply the Corollary of [6], Theorem 3 with  $a_1 = n$ ,  $b_1 = i$ ,  $c_1 = d_1 = r + 1^i + 2^i + \ldots + (n-1)^i$ .

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